



2334 /
PATENT TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE: Application of: Vincent C. KNICK et al.

Serial No.: 10/031,355

Art Unit: 1645

Filing Date: January 18, 2002

Examiner:

For

Combination of an Anti-ep-cam Antibody

With a Chemotherapeutic Agent

BOX DD

Commissioner for Patents Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicants request that the references identified on Form PTO-1449 appended hereto be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR 1.97

	[]	Copies of the references are enclosed Copies of the references were submitted in parent application Serial No (37 CFR 1.98(d)) A copy of the International Search Report which issued on International Application No is submitted herewith. All of the publications cited in the International Search Report are listed on the attached form PTO-1449 and Applicants understand that copies have been supplied to the U.S. Patent Office by the International Bureau.
A.	[X]	The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the above application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(b).
	OR	
	[]	The Information Disclosure Statement submitted herewith is being filed before the mailing of a first office action after the filing of a Request For Continued Examination under 37 C.F.R. 1.114 (37 C.F.R. 1.97(b)(4)).
В.	[]	The Information Disclosure Statement transmitted herewith is being filed after three months of the filing date of the above application or the date of entry into the national stage as set forth in § 1.491 of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last, but before the mailing date of either: (1) a final action under § 1.113 or (2) a notice of allowance under § 1.311, whichever occurs first.

CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: BOX DD, Commissioner for Patents, Washington, D.C. 20231.

Date:	March	14.	2003

Marjorie y Pfeisser J Pfeisser

	[]	Applicant hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.						
	[]	Applicant elects the option to pay the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under § 1.97(c) (\$180.00).						
C.	[]	The Information Disclosure Statement transmitted herewith is being filed after a final action under § 1.113, or a notice of allowance under § 1.311, whichever occurs first, but before the payment of the issue fee. Also enclosed is a copy of the International Search Report which Issued on International Publication No.						
	In accor	dance with the requirements of 37 CFR 1.97(d):						
	[]	Applicant hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.						
	[]	Applicant hereby petitions for the consideration of the accompanying Information Disclosure Statement. 37 CFR 1.97(d)(ii).						
	[]	The petition fee set forth in § 1.17(i)(1) (\$130.00) is submitted herewith.						
[X]		harge any required fees to Deposit Account No.07-1392. cate copy of this paper is attached.						

Respectfully Submitted,

Attorney of Record: Michael M. Conger

Registration No. 43,562

Date: March 14, 2003

GlaxoSmithKline

Corporate Intellectual Property 5 Moore Drive, P.O. Box 13398 Research Triangle Park, NC 27709-3398

Telephone: (919) 483-2474 Facsimile: (919) 483-7988

)-1449 TIQNA	DISCLOSURE ST		ATTORNEY DOCKET NO. PU3513USw			SERIAL NO. 10/031,355				
	(a)	%]			APPLICANT				A 2003		
1		5 0 5003			KNICK et al.				16 O		
1	MAK	F. 10 2000 E.			FILING DAT	E	•	GROUP	8		
1	\				January 18, 20	002		1645			
	An	MT & TRAUE	U.S. I	PATENT D	OCUMENTS				<u> </u>		
		VI & STORY							Filing Date		
Examiner Initials				Name Class		Class	Subclass	S If Appropriate			
	<u> </u>		C	Continue on	nage						
					F DOCUMENTS	S					
	Γ	Document	Publication	T			1	·	Translation		
	1	Number	Date		Country	Cla	ass	Subclass	Yes No		
	1.	WO 87/00462	Date	PCT	January J			~4501433	X (Abstract)		
	2.	WO 92/07075		PCT		 			A (Abstract)		
	 2.	14 0 32/0/0/3		101		 					
	L	L		ontinue er	nage	L			l		
		OTHER ROOM		ontinue on		\	- N Y -	- 174			
	1-3	OTHER DOCUM	LEN 18 (Includi	ng Author,	ııtıe, Journal-I	pate, Pa	ge Numb	er, Etc.)			
	3.	Bebbington et al.									
	<u> </u>	synthetase gene a									
	4.	Bhuyan et al., "L				ts of ado	ozelesin (l	J-73975) on 1	rodent and		
		human cells," Ca									
	5.	Chen et al., "Diff							somerase II		
	_ •	inhibitors merbar									
	6.	Colcher et al., "A							Proc. Natl.		
	-	Acad. Sci. USA 7					,	3	•		
	7.	Czuczman et al.,	"IgM monoclona	al antibody	D118 recognizes	an indu	cible anti	gen target for	human-		
	•										
		complement-mediated cytotoxicity against neoplastic B cells," Cancer Immunology, Immunotherapy 36(6):387-396 (1993).									
	8.	Ellis et al., "Engineered anti-CD38 monoclonal antibodies for immunotherapy of multiple myeloma," <i>The</i>									
	* *	Journal of Immunology 155:925-937 (1995).									
	9.	Journal of Immunology 155:925-937 (1995). Engelholm et al., "Effect of Melphalan on growth curves and cell cycle distribution of four human small cell									
	ا [*] ، ا	carcinomas of the									
	10.	Erba et al., "Com	narison of cell or	vele nhace =	erturbations indu	red har	the DNA	minor erecord	y). albulator		
	10.										
	``	tallimustine and b 1995).	уу шетриатап ш т	шс э w 020 (en ime, interna	uonai J	vurnai Of	Cancer 02(2)	.170-175 (Jul.		
 	11	,	otorogonoitf	oll a		- of1		aall 1	4 11		
	11.	Fargion et al., "H					nan small	cell lung can	cer detected by		
	12	monoclonal antib					•				
	12.	Fogler et al., "En						of noncompet	ing monoclonal		
	12	antibodies to the									
	13.	Greiner et al., "Er	nnanced expressi	on of surfac	e tumor-associate	ed antig	ens on hu	man breast ar	nd colon tumor		
	- "	cells after recomb	oinant human leu	kocyte α-ir	nterferon treatmer	nt," Can	cer Resea	rch 44:3208-	3214 (Aug.		
		1984).									
	14.	Greiner et al., "In	traperitoneal adr	ninistration	of interferon-gan	nma to c	arcinoma	patients enha	nces expression		
	e l	of tumor-associat									
		10(5) :735-746 (M			-	-	_				
	15.	Herlyn et al., "CO		ted monoclo	onal antibodies: tl	heir prod	duction an	d characteriz	ation,"		
	•	Hybridoma 5(Su				Page					
	16.	Herlyn et al., "Co			antigen: detection	ı by mes	ans of mor	noclonal antib	odies" Proc		
	~ .	Natl. Acad. Sci. U				. Uy IIICa	OI 1110	assistiat antil	70aics, 170c.		
	17.					f 5, fluor	ouracil in	human colo-	ectal cancer		
cells," Oncology Research 6(7):303-309 (1994).											
18. Jones et al., "Replacing the complementarity-determining regions in a human antibody with those from a mouse," <i>Nature</i> 321:522 (May 1986).											
		inouse, <i>Nature 3</i>	Z1:322 (May 19)	ō0).							
741407	l			Continue on	page _2_						
KAMINER				Continue on	page _2_	 1	DATE C	ONSIDEREI)		

FORM PTO-1449 INFORMATION PERCENCES ATEMENT					ATTORNEY INCK	SERIAL NO. 10/031,355 GROUP 1645 GROUP			
INFORMATION DESCEOSORE STATEMENT					APPLICANT	10/031,333			
	_/0	ુ જાલ હી			KNICK et al.		G 5		
	1	MAR S U LUCE E			FILING DATE		GROUP		
		Ž.			January 18, 2002		1645	可以	
		AVENT & TRACE	U.S. P.	ATENT DO	DCUMENTS	-		1 9	
		•		•				Filing Date	
Examiner Initials		Patent Number	Issue Date		Name	Class	Subclass	Appropriate	
				ntinue on p	DOCUMENTS				
	T	Document	T	VPAIENI	DOCUMENTS		- 	Translation	
		Number	Publication Date		Country	Class	Subclass	Yes No	
		Tumber	Date		Country	Ciass	Subciass		
							<u> </u>		
							 		
			Co	ntinue on p	age		<u> </u>		
		OTHER DOCUM			Title, Journal-Date, Pa	ge Numb	er, Etc.)		
	19.				se II inhibitor merbaron				
			rrest and inductio	n of aneupl	oidy," <i>Environmental &</i>	Molecula	r Mutagenesis 2	9(1):16-27	
		(1997).							
	20.				is are induced in Burkit	t's lympho	ma cells by the a	inticancer	
	21	agent oracin," FE					1 1 .9 1	Cal	
	21.				of a mouse-human chin an carcinomas," Human				
	-	(1990).	iass with specific	ity for num	an caremomas, Trumun	Antibouy	riyortaomas 1(2).00-70	
	22.	· · · · · · · · · · · · · · · · · · ·	Ep-CAM: a huma	n epithelial	antigen is a homophilic	cell-cell a	dhesion molecul	e." <i>J. Cell</i>	
	3'	Biology 125:437-4			3r				
	23.				e antigens of small cell	carcinoma	of the lung," Ca	incer	
		Research 44:5273							
					es for therapy," Nature 3				
25. Riethmuller et al., "Monoclonal antibodies in the detection and therapy of micrometastatic epithelial cancers," Curr. Opin. Immun. 4:647-655 (1992).									
	26. •				cancer therapy," Curr. C	Denisa Isaassa	5.722 720 (1)	202)	
	27.				on human lymphocytes				
		(Mar. 1996).	dioxic circus or t	Jistiatelle 11	on numan tymphocytes	, минин	n Research 507	(3).109-173	
	28.		of sarcoma-asso	ciated antig	ens p102 and p200 in h	uman sarc	oma cell lines."	Anticancer	
	•	Research 16(3A):			, 1		,	,	
	29.				efficient and versatile se	t of mamn	nalian expression	vectors,"	
		Nucleic Acid Res.							
-	30.,	Tyle, "Iontophore	tic devices for dri	ug delivery,	" Pharmaceutical Research	arch 3(6):3	318-326 (1986).		
	31.		Keshaping huma	ın antibodie	s: grafting an antilysozy	me activit	y," Science 239 :	1534-1536	
	32	(Mar. 1988).	cumulation of H	I -60 lautro-	nia calle in G2/M and in	hibitian -	f autoleinasis a	sad by tree	
32. Watters et al., "Accumulation of HL-60 leukemia cells in G2/M and inhibition of cytokinesis caused by to marine compounds, bistratene A and cycloxazoline," Cancer Chemotherapy & Pharmacology 33(5):399-									
	(1994).								
	33.		ssion of the surfa	ce antigen i	n human gastric cancer	cells and t	he relation to ce	ll cycles,"	
	4	J of Oncology 9(3):179-182 (1987) (Abstract).							
	34. Wulf et al., "A cell-surface apitope associated with liver-preferential metastasis detected by the new								
	monoclonal antibody 3H4 in the murine tumor model ER 15-P," J Cancer Research and Clinical Oncology								
	122(8):476-482 (1996).								
EXAMINER			Co	ontinue on p	page	DATEC	ONGINERED		
PVWMMIACK						DATEC	ONSIDERED		
EXAMINER	: Initia	l if citation conside	red, whether or n	ot citation i	s in conformance with N	MPEP 8 60	9: Draw line the	ough	
					his form with next com				
									